

## CFA® SAMPLE QUESTION – LEVEL I

### Ethical and Professional Standards

**Q:** A broker and CFA charter holder has been arrested for the crime of murder.

Which, if any, of the following could result in the member being summarily suspended from using the CFA designation?

- (a)** Either being convicted or pleading guilty to the crime.
- (b)** He is acquitted of the crime, but he is barred from continuing to work in the investment industry.
- (c)** Both of the results listed in above.



CFA Society Hong Kong  
Candidate Services

