

EASY INTERMEDIATE HARD

CFA® SAMPLE QUESTION – LEVEL I Ethical and Professional Standards

Q: A CFA Institute member is a U.S. citizen living and working in a foreign country. That country has no laws against insider trading. Based on this information, the CFA Institute member may:

- a trade using insider information.
- b not trade using insider information based upon the rules of the SEC.
- ont trade using insider information based upon the CFA Institute Standards.



CFA Society Hong Kong Candidate Services



Mr. Zap Cheng, CFA Candidate Services Committee



Disclaimer: This material is produced by a voluntary study group leader. CFA Society Hong Kong makes no representations about the accuracy, reliability, completeness, or timeliness of this material. Use this material at your own risk.