

CFA® SAMPLE QUESTION – LEVEL I

Ethical and Professional Standards

Q: A CFA Institute member is a U.S. citizen living and working in a foreign country. That country has no laws against insider trading.

Based on this information, the CFA Institute member may:

- a** trade using insider information.
- b** not trade using insider information based upon the rules of the SEC.
- c** not trade using insider information based upon the CFA Institute Standards.



CFA Society Hong Kong
Candidate Services

