

CFA Society Hong Kong
Independent Qualified Valuer List - Application Form
Pilot Patent Valuation Support Scheme (the “Scheme”)
(administered by the Intellectual Property Department (“IPD”))

Please complete all sections and return this form with your CV to info@cfahk.org with email subject **"Application for Listing of Designated Valuation Service Providers"**.

SECTION A – Applicant Details

Full Name	
CFA Institute Membership Number	
Current Job Title	
Firm / Company (if any)	
Hong Kong Business Address	
Email Address	
Telephone	

SECTION B – Eligibility Confirmation

Please confirm that you meet each of the eligibility criteria set out on our website.

1. I am a member of both CFA Institute and CFA Society Hong Kong (the “Society”).	Yes ☐ No ☐
2. I have at least three (3) years of experience valuing intangible assets as defined in IVS 210.	Yes ☐ No ☐
3. I am in good standing with both CFA Institute and the Society, with no history of professional misconduct.	Yes ☐ No ☐
4. I carry on business in Hong Kong by providing valuation services for properties (including but not limited to intellectual property (“IP”) assets), or provide such services through a firm or company that does so.	Yes ☐ No ☐

SECTION C – Experience in IP and Intangible Asset Valuation

Please describe your experience in valuing IP and other intangible assets, and your role and job responsibilities in that work.

Year started conducting valuation of IP / intangible assets or business interest	
Number of years of experience in valuing IP and other intangible assets	
Approximate number of engagements	

SECTION D – Professional Qualifications relevant to IP Valuation

Please set out your professional qualifications, credentials, licences or training relevant to the valuation of IP and intangible assets.

SECTION E – Complaints and Disciplinary Matters

Have you at any time been the subject of any complaint or disciplinary proceeding (whether concluded or pending) in connection with your professional conduct or valuation work?	Yes ☐ No ☐
--	--

If yes, please give brief particulars and the outcome or current status.

SECTION F – Attachments

Curriculum Vitae (required)	Attached ☐
Public track record demonstrating adherence to IVS 210 (optional)	Attached ☐

SECTION G – Disclaimer

Completion of this Application Form is intended solely to provide approved entities with additional information about members who indicate that they offer valuation services involving IP/intangible assets or business interests. If you are included on the list, on the basis of the information that you have provided, this does not constitute any certification, endorsement, approval, or quality assurance, nor does it confer any accreditation or recognition, or confirmation of your eligibility to be a qualified valuer under the Scheme. Any valuation conducted under the Scheme must be carried out in accordance with the requirements set out in the Guidelines for Quantitative IP Valuation Reports, to be published by the IPD in due course.

By submitting this Application Form, you acknowledge and agree that the information submitted may be provided to, accessed by, reproduced, consolidated by or made accessible via the IPD for the purposes of the Scheme. You are responsible for ensuring that all information submitted is true, accurate, complete and up to date. CFA Society Hong Kong (the “Society”) compiles and consolidates the list of individual practitioners on an “as is” and “as available” basis, as a convenience only. The Society has not verified, vetted or endorsed any individual practitioner, including his/her qualifications, experience or services, and makes no recommendation, representation, warranty or assurance (whether express or implied) as to the accuracy, completeness or currency of the information. Inclusion in the list does not constitute certification, approval or quality assurance by the Society.

The Society’s role is limited to compiling, maintaining and publishing the list for the purposes of the Scheme. Any subsequent handling of the information by the IPD or any other third party, including its provision to, access by, reproduction, consolidation, linking, publication or other handling by them, is outside the Society’s control.

To the maximum extent permitted by law, the Society shall not be responsible or liable for any such act, omission or handling, whether authorized or unauthorized. Any related query, concern or claim should be directed to the relevant party and not the Society.

Any engagement of a listed individual practitioner is solely between a company/individual and the individual practitioner concerned, and the Society is not a party to any such engagement.

To the maximum extent permitted by law, the Society will not have or accept any liability, obligation or responsibility whatsoever for any loss or damage (including without limitation consequential loss or damage) however arising from or in connection with any use or misuse of or reliance on the information.

SECTION H – Personal Information Collection Statement ("PICS")

This Application Form is for you, as an active CFA charterholder and an active member of both CFA Institute and the Society, to apply for inclusion in the list under the Scheme, administered by the IPD. In processing this application, the Society may collect your personal data, such as name, membership number, contact number and email address.

Your personal data will be used to process your application; compile, maintain and provide the list to the IPD; communicate with you about the Scheme; conduct statistical analysis; and publish your name and contact details on the Society’s official platforms, including its website and social media, so that interested parties may

make contact. The published list, or data contained in it, may also be reproduced by, consolidated by, or made accessible via the IPD for the Scheme.

Provision of personal data is voluntary. However, insufficient information may result in this application not being processed or you not being included in the list. The data may be accessed by the Society's officers, staff and committees handling this application, and provided to or accessed by the IPD as above. If published, it will be accessible by the public, including persons in and outside Hong Kong. You agree that the Society may provide the personal data to co-organizers and service providers, whether in or outside Hong Kong, for purposes relating to the Scheme.

The Society's role is limited to compiling, maintaining and publishing the list for the Scheme; the Society does not control, and is not responsible for, any subsequent collection, use, publication or handling of the personal data by the IPD or any other third party. Any query about the IPD's handling should be directed to the IPD. Data will be retained only as long as necessary for the above purposes.

You may request access to or correction of the data, and obtain further information, at personaldata@cfahk.org or by writing to the Society. For more information about the Society's privacy policy, please go to <https://cfasocietyhongkong.org/privacy-policy/>.

SECTION I – Declaration

I confirm that I have read and acknowledged the Disclaimer and PICS stated in Section G and Section H.

I also confirm that the information given in this form and its attachments is true and complete. I authorize the Society to verify this information, including with CFA Institute, and consent to publication of my name on the list if my application is successful. I undertake to notify the Society promptly of any material change to the information given.

Signature	
Name	
Date	

(version date: 24 September 2026)