

## Associate Member Application Form

### CFA SOCIETY HONG KONG

**Associate Member** must have a bachelor's degree from an accredited academic institution or equivalent education and also must have one year's acceptable professional work experience.

The work experience required must be related to financial investment process. In general, the individual is expected to contribute to the financial analysis of company, securities analysis, portfolio analysis, investment management, industry as well as economic analysis. In addition, those who are directly related to the supervision as well as the teaching of the above activities are also qualified for the application.

To continue being an Associate Member, he/she shall pay the annual dues and adhere to all applicable rules and regulations, including the Articles of Association, the Code & Standards and other rules relating to professional conduct of the CFA Society Hong Kong.

An applicant has to be recommended by a CFA Society Hong Kong Regular Member or his/her current employer for the Society's review. Termination of membership will be based on the conditions as described in Article 33 to 36 of the Society's Articles of Association which can be downloaded from the CFA Society Hong Kong's website [here](#).

An Associate Member shall not be entitled to receive notice or attend or vote at general meetings of the Society.

### Membership Fees

Our fiscal year (membership period) runs from 1 July to 30 June. Membership fees paid are non-refundable and non-transferable.

<u>NEW members ONLY</u>			
Activate on	CFA Society Hong Kong Membership Dues (Annual Dues HK\$930 per annum)	HK Amount	Your Membership in effective
Jan 2021	Pay only for remaining months, 6 months	HK\$465	Till 30 Jun 2021
Feb 2021	Pay only for remaining months, 5 months	HK\$387.5	
Mar 2021	Pay only for remaining months, 4 months	HK\$310	
Apr 2021	Pay only for remaining months, 3 months	HK\$232.5	
May 2021	Full dues for next Membership Period (waived Dues for May and/or June 2021)	HK\$930	
Jun 2021		HK\$930	
Jul 2021 (start of new membership period)	Full Annual Dues for the <b>New Membership Period</b>	HK\$930	Till 30 Jun 2022
Aug 2021	Pay only for remaining months, 11 months	HK\$852.5	
Sept 2021	Pay only for remaining months, 10 months	HK\$775	
Oct 2021	Pay only for remaining months, 9 months	HK\$697.5	
Nov 2021	Pay only for remaining months, 8 months	HK\$620	
Dec 2021	Pay only for remaining months, 7 months	HK\$542.5	

### Application Procedures

To apply for Associate Member, the applicant has to complete and submit the following to CFA Society Hong Kong :

- An Application Form
- A Sponsor Form completed by a CFA Society Hong Kong Regular Member or his/her current employer
- An Ethics Declaration Form

Upon successful application, a copy of HKID/passport has to be submitted to CFA Society Hong Kong for record purpose.

**Important Note :**

After you have become an Associate Member and would like to apply for Regular or Affiliate membership at a later date, you must submit your Regular or Affiliate membership application directly to CFA Institute via the website [www.cfainstitute.org](http://www.cfainstitute.org) and indicate that you would like to apply for the Hong Kong Society membership. CFA Society Hong Kong will not transfer your Associate membership to Regular/Affiliate membership.

<b>Existing Member Renewal</b>		
<b>Renewal on</b>	<b>CFA Society Hong Kong Membership Dues (Annual Dues HK\$930 per annum)</b>	<b>Your Membership in effective</b>
1 May – 15 Jul 2021	Full Annual Dues	Till 30 Jun 2022
After the renewal deadline	Lapsed if you do not pay on time.	

<b>Lapsed Member Reactivation</b>		
<b>Reactivate on</b>	<b>CFA Society Hong Kong Membership Dues (Annual Dues HK\$930 per annum)</b>	<b>Your Membership in effective</b>
1 Jul 2020 to 30 Apr 2021	Full Annual Dues	Till 30 Jun 2021
1 May 2021 to 30 Jun 2022	Full Annual Dues	Till 30 Jun 2022

*Note: The Board of The Hong Kong Society of Financial Analysts Limited has approved on April 20, 2020, to rebrand as "CFA Society Hong Kong" while the entity / legal name, "The Hong Kong Society of Financial Analysts Limited", remains unchanged.*

<b>Associate Member Application Form</b>
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Please complete all items and tick the appropriate box.

\* Delete whichever is inapplicable.

Associate Member No. : \_\_\_\_\_  
(to be completed by CFA Society Hong Kong\*\*)

Name : (\* Mr / Mrs / Miss / Ms ) \_\_\_\_\_  
First Name
Middle Name
Last Name (Surname or Family Name)

\* HKID/Passport No. : \_\_\_\_\_ Nationality : \_\_\_\_\_ Date of Birth : \_\_\_\_\_

Have you been a CFA Candidate ?  No  Yes, Candidate No. \_\_\_\_\_

Have you been a member of CFA Institute ?  No  Yes, Member No. \_\_\_\_\_

Have you been a member of a CFA Institute Society?  
 No  Yes, Name of Society \_\_\_\_\_ Join Date \_\_\_\_\_

Are you a member of other professional association(s)? If yes, please list down their name(s) below :  
 \_\_\_\_\_  
 \_\_\_\_\_

Sponsor – an application must be recommended by one of the following :

Name of CFA Society Hong Kong member \_\_\_\_\_ Membership No. \_\_\_\_\_

Name of your Current Employer \_\_\_\_\_

Name of Contact Person \_\_\_\_\_ Email : \_\_\_\_\_ Tel : \_\_\_\_\_

Please attach a **Sponsor Form** completed by one of the above sponsors and an **Ethics Declaration Form**.

Payment of Annual Dues :

A cheque is attached with this form (the cheque should be made payable to “CFA Society Hong Kong”)

By credit card, please send me a payment link

Applicant’s Signature : \_\_\_\_\_ Date : \_\_\_\_\_

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I have read the above important note.

To be completed by CFA Society Hong Kong

1. Reviewed By : \_\_\_\_\_ Date : \_\_\_\_\_

Approved :  Yes  No, Reason \_\_\_\_\_

2. Reviewed By : \_\_\_\_\_ Date : \_\_\_\_\_

Approved :  Yes  No, Reason \_\_\_\_\_

Associate Member No. : \_\_\_\_\_  
(to be completed by CFA Society Hong Kong)

Name : \_\_\_\_\_  
First Name Middle Name Last Name (Surname or Family Name)

**CONTACT INFORMATION**

Preferred Mailing Address :  Company  Home  
Preferred Email Address :  Company  Home

**Company**

Company Name \_\_\_\_\_  
Company Address \_\_\_\_\_  
Company Telephone \_\_\_\_\_  
Company E-mail Address \_\_\_\_\_

**Home**

Home Address \_\_\_\_\_  
Home Telephone \_\_\_\_\_ Mobile Phone \_\_\_\_\_  
Home E-mail Address \_\_\_\_\_

**WORK HISTORY**

**Position at Current Employer** \_\_\_\_\_ identified above :

Full-time Job  Part-time Job  Temporary Job from \_\_\_\_\_ to \_\_\_\_\_

Main activity of business : \_\_\_\_\_

Date of Employment : From (Month/Year) \_\_\_\_\_

Duties \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Prior Employer #1 :** \_\_\_\_\_

Position : \_\_\_\_\_

Main activity of business : \_\_\_\_\_

Date of Employment : From (Month/Year) \_\_\_\_\_ To (Month/Year) \_\_\_\_\_

Duties \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(Please use additional paper for more work history)

**Note :** For Personal Information Collection Statement, please visit our website at  
[https://www.cfahk.org/about\\_us.php?oid=56](https://www.cfahk.org/about_us.php?oid=56).

***Please complete, sign and return this application form together with a Sponsor Form, an Ethics Declaration Form and a cheque for the Annual Dues (or request a payment link) to CFA Society Hong Kong, 14/F, BOC Group Life Assurance Tower, 136 Des Voeux Road Central, Hong Kong. The cheque should be made payable to "CFA Society Hong Kong". For enquiries, please send it to [member@cfahk.org](mailto:member@cfahk.org) or call us on 2521-2543.***

***\*\*The Board of The Hong Kong Society of Financial Analysts Limited has approved on April 20, 2020, to rebrand as "CFA Society Hong Kong" while the entity / legal name, "The Hong Kong Society of Financial Analysts Limited", remains unchanged.***