

Reading Resources Regulations

Opening Hours:

Monday to Friday: 9:00 a.m. to 5:30 p.m.

Saturday, Sunday and Public Holidays: Closed

1. The Reading Resources are maintained for the use of CFA Society Hong Kong members and CFA candidates only. Visitors can gain access only with prior approval of the Society.
2. Reading Resources users will be requested to produce identification to Society staff before they are allowed to enter.
3. Loan service is provided to members only.
4. Each member can only borrow up to 2 books at one time for 14 days. Borrowers have to pay a refundable deposit \$150 for each borrowed book. Books must be returned to Society by the end of the loan period. The late return penalty will be HK\$5.00 per day (Saturday, Sunday and public holidays inclusive) for each book.
5. Loan period can only be extended once (i.e. 7 days), this can be done by email at least 3 working days before the expiry of the loan period.
6. The \$150 deposit per book will be refunded to the borrower when books are returned in good condition before the expiry of the loan period, otherwise for any damages or lost, the said deposit will be used to pay for the cost of replacement.
7. No books can be taken away from the Reading Resources without the permission of Society staff.
8. Borrowers may reserve books at a charge of HK\$5.00 per book (to be paid at the time of reservation). The reservation fee is nonrefundable and will be forfeited if the borrower does not pick up the reserved book within 3 working days.
9. Smoking, eating and drinking are not allowed in the Reading Resources area.
10. The Reading Resources user should take good care of their own personal belongings. The Society shall not be responsible or liable for any claims of loss or damage of their personal belongs.
11. Reading Resources services will be terminated if any user fails to comply with the regulations stated above.

CFA Society Hong Kong Reading Resources - Book List (General)

| Nos | Title | Author | Publisher |
|--|--|--|-------------------------------|
| <u>Books Purchased by the Society</u> | | | |
| 1 | Beyond Greed and Fear | Hersh Shefrin | Oxford University Press |
| 2 | The Long Tail - How Endless Choice is Creating Unlimited Demand | Chris Anderson | Random House Business Books |
| 3 | Credit Risk - Pricing, Measurement, and Management | Darrell Duffie, Kenneth J. Singleton | Princeton University Press |
| 4 | Fixed Income Mathematics | Robert Zipf | Academic Press |
| 5 | Advanced Bond Portfolio Management - Best Practices in Modeling and Strategies | Frank J. Fabozzi, Lionel Martellini, Philippe Priaulet | John Wiley & Son's, Inc. |
| 6 | The Bond Book - Everything Investors Need to Know About Treasuries, Municipals, GNMA's, Corporates, Zeros, Bond Funds, Money Market Funds, and More (Second Edition) | Annette Thau | McGraw-Hill |
| 7 | Investments (Fifth Edition) | William F. Sharpe, Gordon J. Alexander, Jeffery V. Bailey | Prentice Hall |
| 8 | Study Guide for Technical Analysis of the Financial Markets - A Comprehensive Guide to Trading Methods and Applications | John J. Murphy | New York Institute of Finance |
| 9 | McMillan on Options (Second Edition) | Lawrence G. McMillan | John Wiley & Son's, Inc. |
| 10 | The Option Advisor - Wealth-Building Techniques Using Equity & Index Options | Bernie Schaeffer | John Wiley & Son's, Inc. |
| 11 | Credit Derivatives - Risk Management Trading and Investing | Geoff Chaplin | John Wiley & Son's, Ltd. |
| 12 | Technical Analysis of the Financial Markets - A Comprehensive Guide to Trading Methods and Applications | John J. Murphy | New York Institute of Finance |
| 13 | Study Guide for Technical Analysis Explained (Fourth Edition) | Martin J. Pring | McGraw-Hill |
| 14 | Technical Analysis Explained (Fourth Edition) | Martin J. Pring | McGraw-Hill |
| 15 | Financial Options - From Theory to Practice | Stephen Figlewski, William L. Silber, Marti G. Subrahmanyam, New York University | IRWIN Professional Publishing |
| 16 | The Panic of 1907 - Lessons Learned from the Market's Perfect Storm | Robert F. Bruner, Sean D. Carr | John Wiley & Sons, Inc. |
| 17 | The Warren Buffett Way - Second Edition | Robert G. Hagstrom | John Wiley & Sons, Inc. |
| 18 | The Black Swan - The Impact of the Highly Improbable | Nassim Nicholas Taleb | Penguin Group |

| Nos | Title | Author | Publisher |
|------------|--|--|---|
| 19 | The New Paradigm for Financial Markets - The Credit Crisis of 2008 and What it means | George Soros | Public Affairs |
| 20 | One Up on Wall Street | Peter Lynch | Simon & Schuster Paperbacks |
| 21 | Peter Lynch and John Rothchild | Peter Lynch | Simon & Schuster Paperbacks |
| 22 | In an Uncertain World | Robert E. Rubin and Jacob Weisberg | Random House Trade Paperbacks |
| 23 | A Bull in China - Investing Profitably in the World's Greatest Market | Jim Rogers | Random House |
| 24 | The Essays of Warren Buffett - Lessons for Investors and Managers | Warren Buffett | John Wiley & Sons (Asia) Pte Ltd. |
| 25 | The Warren Buffett Way - Second Edition | Robert G. Hagstrom | John Wiley & Sons, Inc |
| 26 | Market Panic - Wild Gyration, Risks and Opportunities in Stock Markets | Stephen Vines | John Wiley & Sons (Asia) Pte Ltd. |
| 27 | Butterworths Hong Kong Securities Handbook | | LexisNexis Butterworths |
| 28 | Writing Securities Research | Jeremony Bolland | John Wiley & Sons (Asia) Pte Ltd. |
| 29 | Advanced modelling in Finance using Excel and VBA | Mary Jackson & Mike Staunton | John Wiley & Sons, Inc |
| 30 | Principles of Financial Engineering | Salih N. Neftci | Elsevier Academic Press |
| 31 | Applied Quantitative Methods for Trading and Investment | Christian Dunis, Jason Laws and Patrick Naim | John Wiley & Sons, Ltd |
| 32 | Quantitative Equity Portfolio Management | Ludwig. B, Chincarini & Daehwan Kim | McGraw-Hill (Library of Investment & Finance) |
| 33 | Active Portfolio Management: A Quantitative Approach for Producing Superior Returns and Controlling Risk | Richard C, Grinold and Ronald N. Kahn | McGraw-Hill (Library of Investment & Finance) |
| 34 | Financial Modeling of the Equity Market: From CAPM to Cointegration | Frank J. Fabozzi, Sergio M. Focardi & Petter N. Kolm | John Wiley & Sons, Inc |
| 35 | Quantitative Trading Strategies | Lars N. Kestner | Irwin Trader's Edge |
| 36 | Beyond the J Curve: Managing a Portfolio of Venture Capital and Private Equity Funds | Thomas Meyer, Pierre-Yves Mathonet | John Wiley & Sons, Inc |
| 37 | Real Estate Investment Trusts | Su Han Chan, John Erickson, Ko Wang | Oxford University Press |
| 38 | Convertible Arbitrage - Insights and Techniques for Successful Hedging | Nick P. Calamos | John Wiley & Sons, Inc |
| 39 | Hedge Funds: Quantitative Insights | Francois-Serge Lhabitant | John Wiley & Sons, Ltd |
| 40 | China Shakes the World | James Kynge | Houghton Mifflin Co |
| 41 | 香港股史 1841-1997 | 鄭宏泰、黃紹倫 | 三聯書店(香港)有限公司 |
| 42 | Measures of Success - What Remarkable Associations Do That Others Don't | | ASAE &The Center for Association Leadership |

| Nos | Title | Author | Publisher |
|------------|--|--|---------------------------------|
| 43 | The Analysis of Structured Securities | Sylvain Raynes, Ann Rutledge | Oxford |
| 44 | Auditing and Assurance in Hong Kong | Peter Tse Yiu Lau, Nelson Chi Yuen Lam | Polot Publishing Co Ltd |
| 45 | China CEO - Voices of Experience from 20 International Business Leaders | Juan Antonia Fernandez, Laurie Underwood | Wiley |
| 46 | The Wealth of Nations | Adam Smith | Bantam Classic |
| 47 | Memos from the Chairman | Alan G. Greenberg | Workman |
| 48 | The Road to Serfdom | F.A. Hayek | The University of Chicago Press |
| 49 | Freakonomics - A Rogue Economist Explorers - The Hidden side of Everything | Steven D. Levitt and Stephen J. Dubner | Marrow |
| 50 | Capitalism and Freedom | Milton Friedman | The University of Chicago Press |
| 51 | Free to Choose - A Personal Statement | Milton Friedman & Rose Friedman | Harcourt |
| 52 | The General Theory of Employment, Interest and Money | John Maynard Keynes | Prometheus Books |
| 53 | Conspiracy of Fools - A True Story | Kurt Eichenwald | Boardway |
| 54 | Straight from the Gut | Jack Welch with John. A Byrne | Headline |
| 55 | 股市之神 - 是川銀藏 | 是川銀藏 | 時報出版社 |
| 56 | Mastering the Merger - Four Critical Decisions That Make or Break the Deal | David Harding & San Rovit | Harvard Business Sch Press |
| 57 | Practical Portfolio Performance Measurement and Attribution | Carl Bacon | Wiley |
| 58 | Built to Last | James C. Collins & Jerry I. Porras | Random House |
| 59 | The Intelligent Investor(Revised Edition) The Definitive Book on Value Investing | Benjamin Graham | Harper Business Essentials |
| 60 | The Dollar Crisis - Causes Consequences Cures | Richard Duncan | Wiley |
| 61 | The 5 Keys to Value Investing | J. Dennis Jean-Jacques | McGraw-Hill |
| 62 | Security Analysis (The Classic 1940) 2nd Edition | Benjamin Graham and David Dodd | McGraw-Hill |

Pre-owned Books Donated by Members

| Nos | Title | Author | Publisher |
|------------|--|---|-----------------------------------|
| 1 | International Investments (Third Edition) | Bruno Solink | Addison Wesley |
| 2 | Analysis for Financial Management (Fourth Edition) | Robert Higgins | Irwin |
| 3 | Economics Privates and Public Choice (9th Edition) | James Gwartney, Richard Stroup, Russell Sobel | Harcourt |
| 4 | Investment Analysis and Portfolio Management (Fourth Edition) | Frank Reilly | Dryden |
| 5 | Strategy and the Business Landscape | Pankaj Ghemawat | Pearson Education |
| 6 | A Primer in Game Theory | Robert Gibbons | Havester Wheatsheaf |
| 7 | Economics Privates and Public Choice (7th Edition) | J Gwartney, R Stroup | Dryden |
| 8 | Managerial Economics - Economic Tools for Today's Decision Makers (Fourth Edition) | Paul Keat & Philip Young | Prentice Hall |
| 9 | Statistical Techniques in Business and Economics (10th Edition) | Robert Mason, Douglas Lind, William Marchal | McGrawHill |
| 10 | Fundamentals of Corporate Finance (Fifth Edition) | Ross Westerfield Jordan | McGrawHill |
| 11 | International Investments (Third Edition) | Bruno Solnik | Addison Wesley |
| 12 | Towers of Debt | Peter Foster | Hodder & Stoughton |
| 13 | China Corporate Guide (2003-2004) | Henry Chow | CN Intelligence Research Press |
| 14 | Global Paradox - The Bigger The World Economy, The More Powerful its Smallest Players | John Naisbitt | Morrow |
| 15 | Managing in the Next Society | Peter F Drucker | St Martin's Press |
| 16 | Defense, Search & Destroy for Hacker Instrusion & Attack (Chinese Version) w/ CD | John Hawke | Flag Publishing |
| 17 | Mission Impossible for Hacker Intrusion, Attack and Monitor (Chinese Version) w/CD | John Hawke | Flag Publishing |
| 18 | Bank Strategic Management and Marketing | Derek F Channon | Channon |
| 19 | Competitive Marketing - A Strategic Approach (2nd Edition) | John O'Shaughnessy | Unwinhyman |
| 20 | Business Performance Measurement - Theory and Practice | Andy Neely | Cambrdige |
| 21 | Applied Calculus for Business, Economics, Life Sciences, and Social Sciences (6th Edition) | Raymond A Barnett & Michael R. Ziegler | Prentice Hall |
| 22 | Personnel and Human Resources Management (5th Edition) | randall S Schuler & Yandra L. Huber | West |

| Nos | Title | Author | Publisher |
|-----|---|---------------------------------------|---------------------------|
| 23 | CPA 2003 (CPA Exam Book, Chinese Version) ISBN 7-80073-488-9 | Yau Wen Li | CITIC Publishing House |
| 24 | Management and Organisational Behaviour 2nd Edition | Laurie Mullins | Pitman |
| 25 | Organizational Behaviour - An Introductory Text 2nd Edition | Andrzej Huczynski & David Buchanan | Prentice Hall |
| 26 | The Construction Industry in Hong Kong | SM Rowlinson & A Walker | Longman |
| 27 | Cost Accounting - A Managerial Emphasis 5th Edition | Charles T Horngren | Prentice Hall |